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TFW

<b>PETITION FEE</b> <b>Under 37 CFR 1.17(f), (g) &amp; (h)</b> <b>TRANSMITTAL</b> (Fees are subject to annual revision)  Send completed form to: Attention: Certificate of Correction Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Application Number</b>	09/508,849-Conf. #5291
	<b>Filing Date</b>	March 17, 2000
	<b>First Named Inventor</b>	Shigekazu NAGATA
	<b>Art Unit</b>	1643
	<b>Examiner Name</b>	A. M. Harris
	<b>Attorney Docket Number</b>	1110-0266P

Enclosed is a petition filed under 37 CFR 1.83 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 400.00 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

**Payment of Fees** (small entity amounts are NOT available for the petition fees).

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 02-2448 :

☐ Petition fee under 37 CFR 1.17(f), (g) or (h) ☒ Any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 500.00 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462**

For petitions filed under:

- § 1.53(e) – to accord a filing date.
- § 1.57(a) – to accord a filing date.
- § 1.182 – for decision on a question not specifically provided for.
- § 1.183 – to suspend the rules.
- § 1.378(e) – for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) – to accord a filing date to an application under § 1.740 for extension of a patent term.

**Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463**

For petitions filed under:

- § 1.12 – for access to an assignment record.
- § 1.14 – for access to an application.
- § 1.47 – for filing by other than all the inventors or a person not the inventor.
- § 1.59 – for expungement of information.
- § 1.103(a) – to suspend action in an application.
- § 1.136(b) – for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 – for review of refusal to publish a statutory invention registration.
- § 1.296 – to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 – for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) – for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 – for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 – for expedited handling of a foreign filing license.
- § 5.15 – for changing the scope of a license.
- § 5.25 – for retroactive license.

**Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464**

For petitions filed under:

- § 1.19(g) – to request documents in a form other than that provided in this part.
- § 1.84 – for accepting color drawings or photographs.
- § 1.91 – for entry of a model or exhibit.
- § 1.102(d) – to make an application special.
- § 1.138(c) – to expressly abandon an application to avoid publication.
- § 1.313 – to withdraw an application from issue.
- § 1.314 – to defer issuance of a patent.

DEC 23 2005

MaryAnne Armstrong  
Signature

\_\_\_\_\_  
Date

MaryAnne Armstrong, Ph.D.

40,069

Typed or printed name

Registration No., if applicable



<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b>		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	Patent#: 6,951,919B1
		Filing Date	Issued: October 4, 2005
		First Named Inventor	Shigekazu NAGATA
		Examiner Name	A. M. Harris
		Art Unit	1643
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	1110-0266P
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 500.00		

**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: 02-2448 Deposit Account Name: Birch, Stewart, Kolasch & Birch, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____	- = _____	x _____	= _____	_____	_____	_____
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
_____	- = _____	x _____	= _____			

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____	- 100 = _____	/50 _____ (round up to a whole number) x	_____	= _____

**4. OTHER FEE(S)**

	<u>Fees Paid (\$)</u>
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1811 Certificate of correction	100.00
1462 Petitions to the Director not specifically ...	400.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	40,069
Name (Print/Type)	MaryAnne Armstrong, Ph.D.	Telephone	(703) 205-8000
		Date	DEC 23 2005



Docket No.: 1110-0266P  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Shigekazu NAGATA et al.

Patent No.: 6,951,919B1

Issued: October 4, 2005

For: NOVEL FAS LIGAND DERIVATIVE

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.323**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22131-1450

Sir:

Upon reviewing the above-identified patent, Patentee noted errors, which should be corrected.

In the Residence of the Inventors:

(75) Inventors: Shigekazu Nagata, ~~Minoo~~ Osaka (JP); Masato Tanaka, ~~Minoo~~ Osaka  
(JP)

(73) Assignee: Mochida Pharmaceutical Co., Ltd., ~~Tokyo (JP)~~, Osaka Bioscience  
Institute, Osaka (JP) 12/27/2005 TAD001 00000000 6951919  
02 FC:1811 100.00 0P

The errors in the residence of the inventors was a printing error by the USPTO. The omission of the full list of the Assignees on the Issue Fee Transmittal was an inadvertent error of the applicant. Our check in the amount of \$100.00 covering the fee set forth in 37 CFR 1.20(a) and associated petition to correct the error in the Assignee are enclosed.

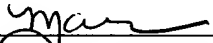
The errors now sought to be corrected are inadvertent typographical errors by applicant or the USPTO and correction thereof does not involve new matter or require reexamination.

Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: DEC 23 2005

Respectfully submitted,

By   
MaryAnne Armstrong, Ph.D.  
Registration No.: 40,069  
BIRCH, STEWART, KOLASCH & BIRCH, LLP  
8110 Gatehouse Road  
Suite 100 East  
P.O. Box 747  
Falls Church, Virginia 22040-0747  
(703) 205-8000  
Attorney for Applicant

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 6,951,919B1  
APPLICATION NO. : 09/508,849  
ISSUE DATE : October 4, 2005  
INVENTOR(S) : Shigekazu NAGATA et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

The inventor information should read as follows:

Inventors: Shigekazu Nagata, Osaka (JP); Masato Tanaka, Osaka (JP)

The Assignees should read as follows:

(73) Assignee: Mochida Pharmaceutical Co., Ltd., Tokyo (JP); Osaka Bioscience  
Institute, Osaka (JP)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

MaryAnne Armstrong, Ph.D.  
BIRCH, STEWART, KOLASCH & BIRCH, LLP  
8110 Gatehouse Road  
Suite 100 East  
P.O. Box 747  
Falls Church, Virginia 22040-0747

1

Birch, Stewart, Kolasch & Birch, LLP

MAA/bmp



Docket No.: 1110-0266P  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Shigekazu NAGATA et al.

Patent No.: 6,951,919B1

Issued: October 4, 2005

For: NOVEL FAS LIGAND DERIVATIVE

**PETITION UNDER 37 C.F.R. § 1.183 TO CHANGE ASSIGNEE'S NAME**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a request for a Certificate of Correction under 37 C.F.R. § 1.323 to correct the Assignee's Name on the issued patent. It is respectfully requested that both the request for a Certificate of Correction and the instant Petition be granted such that a Certificate of Correction will issue with the correction as follows:

**--MOCHIDA PHARMACEUTICAL CO., TOKYO (JP); OSAKA BIOSCIENCE  
INSTITUTE, OSAKA (JP)--**

The required fee of \$400.00 under 37 C.F.R. § 1.17(f) is listed on the Fee transmittal attached hereto.

01 FC:1462

12/27/2005 JADD01 00000009 6951919

400.00 OP

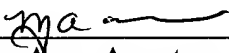
It is requested that 37 C.F.R. § 3.81 (a) be waived to provide the complete listing of assignees. Failure to include a complete listing of assignees' names under item (5) of PTOL 85-B was inadvertent.

A copy of the RECORDATION OF ASSIGNMENT DOCUMENT is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: DEC 23 2005

Respectfully submitted,

By   
Mary Anne Armstrong, Ph.D.  
Registration No.: 40,069  
BIRCH, STEWART, KOLASCH & BIRCH, LLP  
8110 Gatehouse Road  
Suite 100 East  
P.O. Box 747  
Falls Church, Virginia 22040-0747  
(703) 205-8000  
Attorney for Applicant

Attachments



110-260

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 17, 2000

PTAS

BIRCH, STEWART, KOLASCH & BIRCH, LLP  
GERALD M. MURPHY, JR.  
P.O. BOX 747  
FALLS CHURCH, VA 22040-0747



\*101360434A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/17/2000

REEL/FRAME: 010786/0424  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
NAGATA, SHIGEKAZU

DOC DATE: 03/08/2000

ASSIGNOR:  
TANAKA, MASATO

DOC DATE: 03/08/2000

ASSIGNEE:  
MOCHIDA PHARMACEUTICAL CO., LTD.  
7, YOTSUKA 1-CHOME, SHINJUKU-KU  
TOKYO, JAPAN

ASSIGNEE:  
BIOSCIENCE INSTITUTE, OSAKA  
2-4, FURUEDAI 6-CHOME, SUITA-SHI  
OSAKA, JAPAN

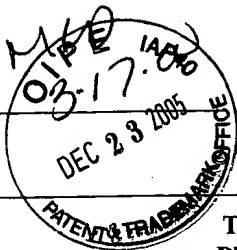
SERIAL NUMBER: 09508849  
PATENT NUMBER:

FILING DATE: 03/17/2000  
ISSUE DATE:



010786/0424 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



05-18-2000

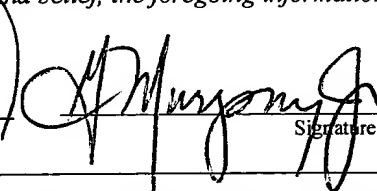


101360434

09/508849  
514 R. J PCT/PTO 17 MAR 2000  
Atty. Docket No.: 1110-266P  
Page 1 of 2

## ER SHEET

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> NAGATA, Shigekazu; TANAKA, Masato Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>2. Name and address of receiving party(ies)</b> Name: Mochida Pharmaceutical Co., Ltd. Internal Address: Street Address: 7, Yotsuya 1-chome, Shinjuku-ku City: Tokyo State: ZIP: Country: Japan Postal Code: Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: March 8, 2000			
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: March 8, 2000 A. Patent Application No(s). New B. Patent No.(s). Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP Street Address: P.O. BOX 747 City: FALLS CHURCH State: VA ZIP: 22040-0747 Country: USA		<b>6. Total No. of applications/patents involved: One (1)</b> <b>7. Total fee (37 C.F.R. § 3.41): \$40.00</b> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account, if no fee attached. <b>8. Deposit account number: 02-2448</b> (Attach triplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
<b>9. Statement and signature.</b> <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Gerald M. Murphy, Jr., #28,977 Name of Person Signing/Reg. No.  Signature March 17, 2000 Date Total number of pages including cover sheet, attachments, and document: Four (4)			

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

GMM/sas  
(Rev. 01/08/2000)

Attachment to  
RECORDATION FORM COVER SHEET

Continuation of Box 1--Name of conveying party(ies):

Continuation of Box 2--Name and address of receiving party(ies):

**Osaka Bioscience Institute**

**2-4, Furuedai 6-chome, Suita-shi  
Osaka, Japan**

Continuation of Box 4--Application number(s) or patent number(s):

## ASSIGNMENT

Application No. NewFiled March 17, 2000Insert Name(s) of Inventor(s) ➡ WHEREAS, Shigekazu NAGATA and Masato TANAKAInsert Title of Invention ➡ (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in Novel Fas Ligand Derivative

for which an application for Letters Patent of the United States of America has been executed by the undersigned

Insert Date of Signing of Application ➡ on March 8, 2000; andInsert Name of Assignee ➡ WHEREAS, Mochida Pharmaceutical Co., Ltd. and  
Osaka Bioscience InstituteInsert Address of Assignee ➡ of 7, Yotsuya 1-chome, Shinjuku-ku, Tokyo, Japan and  
2-4, Furuedai 6-chome, Suita-shi, Osaka, JapanCHECK BOX IF APPROPRIATE ➡ ☐ its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and ☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date March 8, 2000,

Name of Inventor \_\_\_\_\_

Shigekazu NAGATA (signature)

Date March 8, 2000,

Name of Inventor \_\_\_\_\_

Masato TANAKA (signature)

Date \_\_\_\_\_,

Name of Inventor \_\_\_\_\_

(signature)

Date \_\_\_\_\_,

Name of Inventor \_\_\_\_\_

(signature)

Date \_\_\_\_\_,

Name of Inventor \_\_\_\_\_

(signature)

Date \_\_\_\_\_,

Name of Inventor \_\_\_\_\_

(signature)